

2999 S. 4TH STREET EL CENTRO, CA 92243 TELEPHONE: (760) 336-3945

Adult Services Program IHSS / APS

November 16, 2009

Carrie Stone, Manager QA Monitoring Unit CDSS Adult Programs Branch 744 P Street, MS 19-96 Sacramento, CA 95814

Dear Ms. Stone:

Enclosed please find Enclosure "B" of the Imperial County Enhanced Anti-Fraud Program plan that was previously submitted for your consideration and received by your office on October 28, 2009. Our plan was approved by the Imperial County Board of Supervisors in open session on November 3, 2009.

Thank you.

Gary W Andrews Deputy Director

ICDSS Adult Services

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OF IMPERIAL COUNTY BOARD OF SUPERVISORS

Date: November 03, 2009	Book: 355	Page: 321	File #: 600.8	M.O.#: 34
Department: SOCIAL SERVIC	ES		2nd Page:	

THE BOARD OF SUPERVISORS OF THE COUNTY OF IMPERIAL, STATE OF CALIFORNIA, on a motion by Supervisor: WYATT , second by Supervisor: KELLEY and approved by the following roll call vote;

AYES: TERRAZAS, KELLEY, WYATT, LEIMGRUBER

NAYES: NONE

ABSTAINED: NONE

EXCUSED OR ABSENT: NONE

Approved the attached plan and authorized the Chairman to sign Enclosure B and authorized submittal of the plan to the CDSS for final approval.

Topic	Enclosure B	<u> </u>		X-Topic:	
CC:	☐ Ag. Comm☐ Assessor☐ Auditor☐ Behavioral Health☐	□ CEO □ County Clerk □ County Counsel □ District Attorney □ Facilities Manag.	☐ Info/Tech ☐ OET	☐ Probation ☐ Public Health ☐ Public Works ☐ Sheriff-Coroner ☒ Social Services	Other

Enclosure B

COUNTY RESPONSE COVER PAGE – MUST BE FULLY COMPLETED AND SUBMITTED WITH PLAN AND DATA

TWIFFIAL County is requesting participation in the Enhanced Anti-Fraud Program and will submit a Plan and Data as described above, by November 1, 2009.

Board of Supervisor Approval
Approved on <u>1000th at 5</u> , 2009, by the County Board of Supervisors
Name of Approver: In) ALLUM LEIMGRUBER, CHAIRMAN
Approved on November 3, 2009, by the County Board of Supervisors Name of Approver: WALLY LEIMGRUBER, CHAIRMAN Signature
Name of County District Attorney Representative: Gilbert G. Otero
County District Attorney Representative Telephone #: (760) 482-4331
Email Address: gilbertotero@co.imperial.ca.us
Name of County Welfare Department Representative: James Semmes
County Welfare Department Representative Telephone #:(760) 337-6884
Email Address: jamessemmes@co.imperial.ca.us



2999 S. 4TH STREET EL CENTRO, CA 92243 TELEPHONE: (760) 336-3945

Adult Services Program IHSS / APS

October 26, 2009

Carrie Stone, Manager QA Monitoring Unit CDSS Adult Programs Branch 744 P Street, MS 19-96 Sacramento, Ca 95814

Dear Ms. Stone:

Imperial County desires to participate in the Enhanced Anti-Fraud Program and submits the following plan as outlined in correspondence from CDSS to the County Board of Supervisors, the District Attorney, and the Welfare Director dated September 25, 2009.

IHSS Overpayments/Underpayments

See Enclosure D (attached) for data on overpayments and underpayments identified by County QA activities since the enactment of the QA initiative in 2004. Imperial County will continue to follow our QA plan (attached) to identify overpayments and underpayments. We will reduce the occurrences of each by creating more awareness of program integrity issues in our field staff through training and by placing more emphasis on program integrity in monthly meetings. We will also reduce overpayments and underpayments by aggressively investigating and prosecuting those individuals in the IHSS program that commit fraud, thereby encouraging the remaining clients and providers to ensure they are reporting their time and duties performed accurately. We will initiate unannounced visits in order to ensure that authorized services are being provided appropriately to our clients by their paid providers.

Fraud Referrals/Outcomes

See Enclosure D (attached) for data on fraud referrals and outcomes since the enactment of the QA initiative in 2004. See our IHSS Fraud and Recovery Procedures (attached) for our current processes and our methodology for determining the appropriate agency for referral and investigation. It should be noted that at the present time, DHCS investigators are not active in Imperial County for the IHSS program. All of our fraud referrals are presently being directed to our local DA investigator until such time as DHCS opts to become active again in Imperial County.

<u>Description of how the County will Integrate Other Program Integrity Efforts</u> within the Plan

Imperial County will continue our program integrity efforts as outlined in our QA plan (attached) as a result of the QA initiative of 2004, as well as adding the anti-fraud components provided in the State Budget Act of 2009. We will utilize the funding available to us through the Enhanced Anti-Fraud Program to increase our efforts by adding additional staff and publicizing our fraud hotline to supplement our current activities.

Annual Outcomes Report

Imperial County commits to providing an annual outcomes report by August 1st of each year in the format that CDSS requests.

Plan Prepared by:

Gary W Andrews Deputy Director

Imperial County DSS

Lary W Andrews

Utiliz	ation of County DA for Fraud	04/05	05/06	06/07	07/08	08/09
	Documented referrals to DA*	8	27	50	59	7]
	Accepted:	8	27	50	59	71
	Rejected:	0	0	0	0	0
	Pending:	0	7	4	27	50
	Completed Investigation					
	No Fraud:	2	8	25	9	6
	Restitution Action:					
1	Referred for Prosecution:	4	7	12	22	13
	Criminal Charges Filed:	4	7	12	22	13
	No Charged Filed:	2	5	3	2	3
Outcomes	Convictions:	1	3	7	4	0
8	Acquittals:	0	0	0	0	0
o	Dismissals:	0	2	1		3
	Pending Investigation:	0	7	4	27	50
	Restitution					
	Court Ordered:	1	3	7	4	0
	Restitution Action:					
	Fines	0	1	0	0	0
	Prosecutions Completed	1	3	7	4	0
	Convictions	1_	3	7	4	0
	Misdemeanor	1	0	1	0	0
	Felony	0	3	6	4	0

\$110,269 (amount available for additional IHSS positions)

\$105,550 (estimated expenditures)

\$4,719 (carry over)

Total new positions requested for the Enhanced Anti-Fraud Program effort:

- 1.0 DA investigator
- 1.0 social worker III
- 1.0 Account Clerk III

Positions already funded and dedicated to anti-fraud efforts:

- 1.0 DA investigator
- 2.0 staff services analysts (one in QA and one in fraud recovery)
- 1.0 OA III (QA unit)

Total anti-fraud and program integrity team upon approval of this plan:

Fraud recovery unit: 2.0 DA investigators (funded from the enhanced program funding)

1.0 staff services analyst (currently allocated position)
1.0 account clerk III (new position under IHSS allocation)

QA unit:

1.0 staff services analyst (currently allocated position)

1.0 social worker III (new position under IHSS allocation)

1.0 office assistant III (currently allocated position)

^{*} Any DHCS investigators assigned to work in Imperial County would supplement the staff listed above in anti-fraud efforts.

Budget Justification Imperial County's Fraud Funding Plan for FY 2009-10

Budget Section	Total
A. Personnel Costs (includes employee benefits)	\$ 88363
B. Operating Expenses	\$ 19160
C. Equipment Expenses	\$ 29895
D. Travel/Per Diem and Training	\$0
E. Subcontracts and Consultants	\$ 0
F. Other Costs	\$ 0
G. Indirect Expenses	\$ 10419
Total Expenses	\$ 147837

A. Personnel Costs (including employee benefits)	Total Budget
Title: District Attorney Investigator	\$ 52,018
Salary Calculation: January-June 2010 Salary (\$32,424)+Benefits(\$14,594)+Estimated Overtime(\$5,000)	
Duties Description: Works in state of the art Fraud Unit. Investigates IHSS fraud. Works overtime as needed. Uses connections with Dept. of Justice and Homeland Security to identify and record all IHSS fraud. Works side by side with District Attorney and local police to bring fraud suspects to justice. Provides court testimony on behalf of IHSS Fraud Unit. Devotes 100% of time to IHSS Fraud Plan implementation and investigative activities.	
Title: District Attorney Investigator	\$ 36,345
Salary Calculation: March-June 2010 Salary (\$21,616)+Benefits(\$9,729)+Estimated Overtime(\$5,000)	
Duties Description: Works in state of the art Fraud Unit. Investigates IHSS fraud. Works overtime as needed. Uses connections with Dept. of Justice and Homeland Security to identify and record all IHSS fraud. Works side by side with District Attorney and local police to bring fraud suspects to justice. Provides court testimony on behalf of IHSS Fraud Unit. Devotes 100% of time to IHSS Fraud Plan implementation and investigative activities.	
Title:	\$
Salary Calculation:	
Duties Description:	
Title:	.\$
Salary Calculation:	
Duties Description:	
Title:	\$
Salary Calculation:	
Duties Description:	

	Total Personnel Costs:	\$ 88,363
Duties Description:		
Salary Calculation:		
Title:		\$

B. Operating Expenses	Total Budget
Title: Maintenance and Operation (\$5,788) 10.71% of total salaries	\$ 5,788
Description: Janitorial services, maintenance and repairs to the building and utilities	
Title: Building Occupancy (\$3,372) 6.24% of total salaries	\$ 3,372
Description: Fees to occupy office space based on square footage and number of employees.	· ·
Title: Hotline Publicity Expense (\$10,000)	\$ 10,000
Description: Initiate a media campaign to publicize our fraud hotline and encourage more reporting from the public	
Total Operating Expenses:	\$ 19,160

C. Equipment Expenses	Total Budget
Title: Vehicle (\$18,500) + Vehicle Equipment (\$1,970)	\$ 20,470
Description: Purchase (1) vehicle for use by the new DA Investigator	
Title: Safety Equipment (\$2,000) + Badges (\$200) + Cell Phone (\$100)	\$ 2,300
Description: Purchase equipment for new DA Investigator	
Title: (2) Desktop Computers and software (\$3,325) + (2) Laptop Computers and software (\$3,800)	\$ 7,125
Description: Purchase computers for the (2) DA Investigators assigned to the program.	
Total Equipment Expenses:	\$ 29,895

D. Travel/Per Diem and Training	Total Budget
Title:	\$
Description:	
Title:	\$
Description:	

	Total Travel/Per Diem and Training:	\$
Description:		
Title:		\$

E. Subcontracts and Consultants		Total Budget
Title:		\$
Description:		
Title:		\$
Description:		
Title:		\$
Description:		
	Total Subcontracts and Consultants:	\$

F. Other Costs	Total Budget
Title:	\$
Description:	
Title:	\$
Description:	
Title:	\$
Description:	
Title:	\$
Description:	
Title:	\$
Description:	
Total Other Costs:	\$

G. Indirect Expenses	Total Budget
Title: Overhead Costs (\$3,242) 6% of total salaries	\$ 3,242

Description: Administrative and accounting expenses.	
Title: Fixed Asset Replacement Cost (\$7,177) 13.28% of salaries	\$ 7,177
Description: Costs to replace fixed assets assigned to our department.	
Total Other Costs:	\$ 10,419